

# Client Relationship Summary: Investment Advisory Services



March 1, 2026

## **What you should consider when choosing an investment advisory relationship with Logic Wealth Management.**

Logic Wealth Management (Logic) is an investment advisor registered with the U.S. Securities and Exchange Commission (the "SEC"). Registration with the SEC does not imply a certain level of skill or training. Brokerage and investment advisory services and fees differ, and it is important for retail investors to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://Investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing. The summary below highlights the nature of investment advisory relationships with our clients.

## **What investment services and advice can you provide me?**

We provide discretionary investment management services to retail investors, including strategic planning and investment advisory services with respect to asset allocation, portfolio diversification, portfolio risk, and other general economic and financial topics. Financial and strategic wealth planning services may be provided as a stand-alone service or as part of our investment advisory services.

Our client accounts are invested primarily in equities, fixed income securities, mutual funds, exchange-traded funds, alternative investments, cash-equivalent instruments, and strategies and investment portfolios we recommend that are managed by third-party investment managers.

**Monitoring:** Generally, discretionary investment advisory accounts are reviewed on a continuous basis by the portfolio manager responsible for the management of the account. These reviews are designed to monitor and analyze your transactions, positions, and investment levels. This level of monitoring is part of our standard services.

**Investment Authority:** Investment advisory services are guided by the objectives and restrictions outlined in your Investment Advisory Agreement. When we provide discretionary investment advisory services, we make certain determinations about the account investments, provided you have given us authority to do so. After discussing your investment goals and risk profile, we will invest the assets in your account according to an agreed upon investment objective. You have the ability to restrict investments in certain securities or types of securities in your account, as well as to provide guidance and direction with respect to the securities maintained, purchased and sold in your account.

**Account Minimums and Other Requirements:** Logic typically requires a minimum of \$100,000 of assets under management.

More detailed information about our services can be found in our ADV Part 2A Brochure, which you can access on our website at <https://www.logicwealthmanagement.com>.

## **What fees will I pay?**

We are compensated for the investment advisory services we provide based on a percentage of assets under management. Fees are generally charged quarterly in advance based on the prior quarter's ending market value. Fees may be negotiated based upon a number of factors.

You are responsible for additional costs and expenses if applicable, such as brokerage fees, commissions, custody fees, tax preparation fees and fees and expenses charged by unaffiliated custodians. We may charge a minimum annual fee for services provided on any account.

In general, the more assets there are in your investment advisory account, the greater the dollar amount you will pay in fees. As a result, we may have an incentive to encourage you to increase the assets in your account.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

More detailed information about our fees can be found in our ADV Part 2A Brochure (specifically Items 5 and 6) which you can access on our website at <https://www.logicwealthmanagement.com>.

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money may create some conflicts with your interests. However, we do not invest client funds into any proprietary products, and we act with each client's best interest in mind when making investment decisions. You should understand and ask us about these conflicts because they can affect the investment advice we provide you.

More detailed information about conflicts of interest and how we mitigate them can be found in our ADV Part 2A Brochure which you can access on our website at <https://www.logicwealthmanagement.com>.

**How might your conflicts of interest affect me, and how will you address them? How do your financial professionals make money?**

Our employees may receive compensation based on a percentage of your assets under management, while other employees may receive compensation via salary. In some cases, compensation may be based upon an employee's contribution to annual new business revenue generated by a client referral, in addition to other factors. As a result, we may have an incentive to encourage you to increase the assets in your account.

**Do you or your financial professionals have legal or disciplinary history?**

No. Please visit [Investor.gov/CRS](http://Investor.gov/CRS) for a free and simple search tool to view our Firm disclosures.

Please visit our website at [logicwealthmanagement.com](http://logicwealthmanagement.com) to obtain additional information about our services. To request up-to-date information and to request a copy of this Client Relationship Summary, please call us at (626) 757-4323 or email us at [team@logicwm.com](mailto:team@logicwm.com).

**Additional Questions to Ask Us:**

Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me?

What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

Help me understand how these fees and costs might affect my investments. If I give you \$100,000 to invest, how much will go to fees and costs, and how much will be invested for me?

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?